UC10 Rec'd PCT/PTU & 5 30 April 12 20 10 5 min SUBSTITUTE FORM PTO-1390 F COMMERCE PATENT AND TRADEMARK O S DOCKET NUMBER TRANSMITTAL LET TO THE UNITED STATES 28951.5386 **DESIGNATED/ELECTED OFFICE (DO/EO/US)** U.S. APPLICATION NO. (If known, see 37 CFR 1.5) CONCERNING A FILING UNDER 35 U.S.C. 371 INTERNATIONAL APPLICATION NO. INTERNATIONAL FILING DATE PRIORITY DATE CLAIMED PCT/JP03/14082 November 4, 2003 November 7, 2002 TITLE OF INVENTION MAGNETIC RECORDING REPRODUCING APPARATUS APPLICANT(S) FOR DO/EO/US Koji SUMITOMO Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. \boxtimes is not required, as the application was filed in the United States Receiving Office (RO/US). An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. A have not been made and will not be made. ☐ An English language translation of amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. A preliminary amendment. 14. An Application Data Sheet under 37 CFR 1,76. 15. A substitute specification. A power of attorney and/or change of address letter.

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Other items or information: PCT Publication WO 2004/042718 PCT International Search Report

17. A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published international application under 35 U.S.C. 154(d)(4).

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| U.S. Application No. (if known, see 37 C INTERNATIONAL APPLICATION NO. PCT/JP03/14082 | | | | | | | ATTORNEY'S DOCKET NUMBER 28951.5386 | | | |
|---|--------------|-------|---|---|-------------------------|---------|--|-------------------|--------------------|---------------------------------------|
| The following fees have been submitted: | | | | | | | | | icant use | Office use only |
| 21. Basic national fee | | | | | | | \$300 | \$ | 300.00 | |
| 22. Examination fee If International preliminary examination report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | | | | | | | \$ | 200.00 | |
| 23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | | | | | | | * \$ | 500.00 | |
| TOTAL OF 21, 22 and 23 = | | | | | | | | | 1000.00 | |
| Additional fee for specification and drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250 for each additional 50 sheets of paper or fraction thereof. | | | | | | | | | | , , , |
| Total Sheets | Extra Sheets | | Number of each additional 50 or fraction thereof (round up to a whole number) | | | | | | | |
| - 100 = |) = /50 = | | × | | | × \$250 | \$ | | | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(e)). | | | | | | | | \$ | | |
| CLAIMS | | | | | | | RATE | | | |
| Total claims | | 4 -20 | | 0 | | × \$ 50 | | \$ | .00 | |
| Independent claims 2 - MULTIPLE DEPENDENT CLAIM(S) (if a | | | | | | | \$ | .00 | | |
| TOTAL ABOVE CALCULATIONS = | | | | | | | | \$ | .00 | |
| Applicant claims small entity status. See 37 CFR 1,27. The fees indicated above are reduced by 1/2. | | | | | | | | \$ | .00 | · · · · · · · · · · · · · · · · · · · |
| SUBTOTAL = | | | | | | | | \$ | 1000.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | | | | | \$ | | |
| TOTAL NATIONAL FEE = | | | | | | | | \$ | | |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 3.28, 3.31). \$40.00 per property + | | | | | | | | \$ | | |
| TOTAL FEES ENCLOSED = | | | | | | | | \$ | 1000.00 | |
| | | | | | | | | | unt to be nded: | \$ |
| | | | | | | | Amo char | unt to be aed: | \$ | |
| a. A check in the amount of \$1,000.00 to cover the above fees is enclosed. b. Please charge my Deposit Account No. 19-4293 in the amount of \$ to cover the above fees. A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| c. The Commissioner is hereby authroized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 19-4293 . A duplicate copy of this sheet is enclosed. | | | | | | | | | | |
| d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card Information should not be included on this form. Provide credit card information and authorization on PTO 2038. | | | | | | | | | | |
| | | | | 7 CFR 1.495 has no tion to pending sta | itus. | | | / | | (b)) must be filed |
| SEND ALL CORRESPONDENCE TO: | | | | | | ose | el | J | and | L |
| USPTO CUSTOMER NO. 27890 | | | | | Signature | | | | | |
| STEPTOE & JOHNSON LLP Attn. USPTO Docket Administrator 1330 Connecticut Avenue, NW Washington, DC 20036 | | | | | Roger W. Parkhurst Name | | | | | |
| Tel. 202.429.6420 Fax 202.429.3902 | | | | | 25,177 Registration No. | | | | | |